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Filling Preparation:

Review the following procedures and rules before you file:

- Judge Chamber's Procedures
- ECF Filing Procedures IV(B) Privacy and Confidentiality: Sealed Documents
- Local Civil Rules (LCR) 5(g) Sealing and Redacting of Court Records
- Local Criminal Rules (LCrR) 55(b) and (c): Records: Matters to be Filed under Seal and Motions to Seal
- Federal Rules of Civil Procedure, Rule 5.2– Privacy Protection For Filings Made with the Court
- Federal Rules of Criminal Procedure 49.1: Privacy Protection For Filing Made with the Court

Important Notes:

- There is a strong presumption of public access to court files. Please review Local Civil Rule 5(g) in full for more detailed information.
- There are multiple third-party companies that scan for and publicly archive documents that have been filed in CM/ECF. Please contact the Court immediately if you file a document that should have been sealed. The Court may administratively seal your document, thus allowing you to file a redacted version. This in no way guarantees that the information has not already been publicly posted on the internet by a third party.

The Court itself does not have any recourse for removal of such information once it is made public.

Filing instructions

Filing a sealed document or motion is usually a two-step process, unless exceptions apply per <u>LCR 5(g) or</u> <u>LCR 55(b)</u>. Documents should be filed in the order listed below:

- I. Filing a Motion to Seal.
- II. Filing a Sealed Pleading.
 - A. Filing a Sealed Document.
 - B. Filing a Sealed Motion.

I. Filing a Motion to Seal

If the Court has not previously authorized a document to be filed under seal or the document does not fall under the exceptions outlined in the Local Civil Rules and Local Criminal Rules, then you must always file a Motion to Seal prior to filing any document or motion under seal. If there was a prior authorization, skip to <u>Section II</u>.

To file a Motion to Seal (screenshots are from the civil events menu for consistency, but the process is the same for criminal events except where indicated):

1.	Go to Civil or Criminal> Motions and Related	Civil Events	
	Filings > Motions.	Open A Case	Other Filings
		Attorney Case Opening	Discovery Documents Notices
		Initial Pleadings and Service	Trial Documents
		Complaints and Other Initiating Documents	Appeal Documents
		Service of Process	Other Documents
		Answers to Complaints	Sealed Documents
		Other Answers	
		Motions and Related Filings Motions Sealed Motions	
		Responses, Replies and Supporting Documents	

2. Enter your case number in Civil (or Criminal) Case Number, then select Next.



 For Criminal filings, select the Party for which/whom you are filing, then select Next.

For Civil cases, skip to step 4.

ourou the	Party:
USA [pla]	^
Up, Show [d	dft]
	~

4. Type Seal In the search box. The Available Events list filters as you type.

	se	Click your selection, or use arrows to highlight it and	l press Enter.
	Available Events (click to	select an event)	
	Interpleader Deposit (28 U.S.C Interpleader Disbursement (28 Seal Set Aside Set Aside Default Sever Stipulated Motion to Seal		
	Next Clear		
5.	Select Seal (civil cases) or Seal Document (criminal cases). The selected event shows up in the Selected Event list. Then select	Available Events (click to select an event) Preliminary Injunction Produce Return of Property Sanctions Seal V Next Clear	Selected Event Seal
	Next.		

- 6. Select whether you are requesting an oral argument.
- 7. Upload the Motion to Seal (PDF) as the Main Document.
- 8. Upload the Proposed Order (PDF) under Attachments.
- 9. Select Proposed Order for Category, then select Next.

Note: Do not upload the document(s) you wish to file under seal. Any documents uploaded here will *NOT* be under seal and will be viewable by the public.



- 11. Review <u>LCR 7</u>, <u>LCrR 12</u>, or the assigned <u>Judge Chamber's Procedures</u> to determine the appropriate noting date to use for your motion, then select **Next**.
- 12. Enter the correct **Noting Date** (if any) in **Date**, then select **Next.**

Noting I	Noting Date				
Date 5/1	5/2020	Calendar			
Next	Clear				

13. If needed, enter additional descriptions for your Motion to Seal, then select Next.

Note: These are optional fields and can be left blank if no additional description is required.

Docket Text: Modify as Appropriate.		
V MOTION to Seal		, filed by Plaintiff Evergreen Gardens.
(Attachments: # (1) Proposed Ord	er) Noting Date 5/15/2020	, (Instructor, Class)

14. Review the docket text to ensure the entry is correct and includes all required elements:

		······································			
Docket 1	Fext:	Final Text			
	MOTION to Seal , filed by Plaintiff Evergreen Gardens. (Attachments: # (1) Proposed Order) Noting Date 5/15/2020, (Instructor, Class)				
opportu Have yo <i>Source I</i> C:\fakep	unity oure Docu oath\l	Pressing the NEXT button on this screen commits this transaction. You will have no further to modify this transaction if you continue. edacted? <i>ument Path (for confirmation only):</i> Motion.pdf pages: 1 Proposed Order.pdf pages: 1			
Next	Cl	ear			
	a.	Correct Event: Motion to Seal or Motion to Seal Document.			
	b.	Correct Party: Party for whom you are filing.			
	c.	Correct Attachments: Proposed Order.			
	d.	Correct Noting Date: Review <u>LCR 7, LCrR 12</u> , or the assigned Judge's Chambers Procedures.			
	e.	Correct Attorney Name: Must match one signature on the Motion.			

- If the docket text is correct, select Next.
- If the docket text is not correct, select Civil or Criminal from the main menu and start over.

Note: Do not use the browser back button; this can cause duplicate submissions and may cause an error with your filing.

15. The **Notice of Electronic Filing** is sent to all parties on the case who are currently signed up for electronic notice.

U.S. District Court		
[Train Application] United States District Court for the Western District of Washington		
Notice of Electronic Filing		
The following transaction was entered by Instructor, Class on 4/27/2020 at 3:53 PM PDT and filed on 4/27/2020 Case Name: Evergreen Gardens v. Grass Roots Inc Case Number: 2:18-cv-00001-JGR Filer: Evergreen Gardens Document Number: 4 4		
Docket Text: MOTION to Seal , filed by Plaintiff Evergreen Gardens. (Attachments: # (1) Proposed Order) Noting Date 5/15/20 (Instructor, Class))20 ,	
2:18-cv-00001-JGR Notice has been electronically mailed to:		
Michael Clayton testattorney@example.com		
2:18-cv-00001-JGR Notice will not be electronically mailed to:		
The following document(s) are associated with this transaction:		

Note: Any party listed under **Notice will not be electronically mailed to:** will not receive electronic notice. It is your responsibility to serve case documents to them by traditional means. For example:

Docket Text: MOTION to Seal filed by Plaintiff Smith. (Attachments: # (1) Proposed Order) Noting Date 3/12/2021		
2:18-cv-00025 Notice has been electronically mailed to:		
2:18-cv-00025 Notice will not be electronically mailed to:		
Smith		
A Leonard Smith 123 MAIN STREET SEATTLE, WA 98103		
The following document(s) are associated with this transaction		

16. Remember to send the Word version of your **Proposed Order** to the Judge via the individual Judge's Orders email address (see <u>Judges page</u>).

II. Filing a Sealed Pleading

Once you file your Motion to Seal or receive Court authorization granting permission to file the document(s) under seal, you can file the document(s).

There are two main types of sealed categories in CM/ECF: <u>Sealed Document</u> and <u>Sealed Motion</u>. Each category has a different process to follow. You must use either of the two listed options to file a document under seal. If any other event is used, the document will not be sealed.

A. Filing a Sealed Document

This category should be used for filing any type of document under seal that is not a motion. Motions should be filed as <u>Sealed Motions</u>.

To file a Sealed Document:

- 1. Go to Civil or Criminal> Other Filings > Sealed Documents.
- 2. Enter your case number in the Case Number field, then select Next.
- 3. The next screen shows you the full case number and short title for your case. If it is the correct case, select **Next**.
- 4. Select the type of sealed document you need to file under **Available Events**. Once you select the event, it should show up in the **Selected Event list**. Then select **Next**.

ATTENTION! If you are filing a motion under seal, ple	ase	use an event in the SEALED	MOTIONS category.
Available Events (click to select an event)		Selected Event	
Ex Parte-Sealed Document	\wedge	Sealed Document	
SEALED Social Security Certified Administrative Record - Answer			
Sealed Administrative Record- Non Social Security			
Sealed Document			
Sealed Financial Affidavit			
Sealed State Court Record			
Unredacted Sealed Document	\sim		
Next Clear			

5. For Criminal cases: Select whether the document falls under the LCrR 55 exceptions or not.

a. If Yes, skip to step 8.

b. If No, go to step 6.

elect the type of document that you are filing under seal.
Document listed in LCrR 55 Other Sealed Document
Next Clear

- 6. Answer Is there a Motion to Seal, Stipulated Motion to Seal, Order, or Minute Entry previously filed in this case requesting or approving the filing of this document(s) under seal?
 - a. If you filed a Motion to Seal as shown in the previous section, then select YES.
 - b. If the Court previously authorized filing this particular type of document under seal, then select **YES**.
 - c. If none of the above apply, select **NO**. You will be unable to continue filing until you <u>file</u> <u>a Motion to Seal</u>.

 Select the Motion or Order/Minute Entry requesting or granting you approval to file this document under seal. If you filed a **Motion to Seal** as shown in the previous section, select that docket entry, then select **Next**.



- 8. Answer: Should the document you are filing link to another document in this case?
 - a. If you do not need to link the document to an additional document in the case, do not select anything, then select Next.

Should the document	you are filing l	ink to another document in this case?
Filed	to	
Documents	to	
Next Clear		

- b. If you wish to link the sealed document to an additional document in the case (for example if this is a supporting document to a motion), select the box next to: **Should the document you are filing link to another document in this case?** then select **Next.**
 - i. Select the document(s) you wish to link to the sealed document, then select **Next**.

Select the appropr	riate event(s) to which your event relates:
	COMPLAINT against defendant(s) Grass Roots Inc with JURY DEMAND (Receipt # 12345), filed by Evergreen Gardens. (Attachments: # <u>1</u> Civil Cover Sheet, # <u>2</u> Summons)(VB)
09/10/2018	John G Roberts added. (VB)
	MOTION to Seal <i>medical records</i> , filed by Plaintiff Evergreen Gardens. (Attachments: # <u>1</u> Proposed Order) Noting Date 12/20/2019, (VTrainer,)
	SEALED DOCUMENT medical records by Plaintiff Evergreen Gardens re <u>2</u> MOTION to Seal medical records (VTrainer,)
	MOTION to Seal, filed by Plaintiff Evergreen Gardens. (Attachments: # <u>1</u> Proposed Order) Noting Date 5/15/2020, (Instructor, Class)
Next Clear	

9. Select the party you represent under Select the Party, then select Next.

Pick Filer		Select the filer.
Collapse All	Expand All	
■Evergreen Gardens pla Grass Roots Inc dft		Select the Party:
		Grass Roots Inc [dft]
		~
		Next Clear New Filer

10. Upload the document you wish to file under seal under Main Document.

Select the PDF document and any attachments.		
Main Document Browse Sealed Document.pdf		
Attachments	Category	Description
1. Browse No file selected.		~

Note: If you have multiple documents to file under seal, you can upload additional documents under **Attachments**. Be sure to select a **Category** and/or **Description** for each document you upload under **Attachments**.

- 11. Once all documents are uploaded, select Next.
- 12. Review the text directing how to serve your sealed document(s) to parties in the case, then select **Next**.

Please serve your SEALED DOCUMENT by conventional means. Although all registered parties will receive electronic notice of this filing, they will NOT be able to access the document via the hyperlink in the e-mail.
This entry WILL appear on the docket. If this is not your intent, please use EX PARTE-SEALED event.
Next Clear
13. If needed, enter an additional description for your Sealed Document, then select Next.

Note: This is an optional field and can be left blank if no additional description is required.

Docket Text: Modify as Appropriate.	
SEALED DOCUMENT	by Plaintiff Evergreen
Gardens re [2] MOTION to Seal (Instructor, Class)	,
Next Clear	

14. Review the docket text to ensure the entry is correct and includes all required elements:



- a. Correct Event: Sealed Document.
- b. Correct Party: Party for whom you are filing.
- c. Correctly linked to the **Motion to Seal** or **Order/Minute Entry** and any document it supports.
- d. Correct Attachments, if any.
- e. Correct Attorney Name.
- If the docket text is correct, select Next.
- If the docket text is not correct, select Civil or Criminal from the main menu and start over.

Note: Do not use the browser back button; this can cause duplicate submissions and may cause an error with your filing.

15. The **Notice of Electronic Filing** is sent to all parties on the case who are currently signed up for electronic notice.



Note: Sealed documents must be served to all parties by traditional means (see previous step 12).

B. Filing a Sealed Motion

The **Sealed Motion** category should only be used in cases where the Motion *itself* (not attached documents) contains information that should not be public. If you want to file any other pleadings under seal, use the <u>Sealed Document</u> category.

To file a Sealed Motion:

- 1. Go to Civil or Criminal > Motions and Related Filings > Sealed Motions.
- 2. Enter your case number in the Case Number field, then select Next.
- 3. The next screen shows you the full case number and short title for your case, select Next.
- 4. Select the type of sealed motion you need to file under **Available Events**. Once you select the event, it should show up in the **Selected Event list**. Then select **Next**.

ATTENTION! This category is for MOTIONS only. Please u	se the SEALED DOCUMENTS category for all other sealed filings.
Ayailable Events (click to select an event)	Selected Event
Ex Parte-Sealed Motion	Sealed Motion
Sealed Motion 🗸	
Next Clear	

5. Select the party you represent under Select the Party, then select Next.



6. Select whether you are requesting an oral argument in the caption of your motion.



7. Upload the Motion (PDF) you wish to file under seal as the Main Document.

Select the PDF document and any attachments.		
Main Document Browse Motion.pdf		
Attachments	Category	Description
1. Browse Proposed Order.pdf	Proposed Order ~	

8. Upload the **Proposed Order** (PDF) under **Attachments** and select **Proposed Order** for **Category**, then select **Next**.

Select the PDF document and any attachments. Main Document Browse Motion.pdf			
Attachments	Category	Description	
1. Browse Proposed Order.pdf	Proposed Order v		Remove

- a. If there are additional attachments that contain material that must be under seal, add them as attachments.
- b. If there are additional attachments that DO NOT contain material that must be under seal, but are in support of the Sealed Motion, DO NOT add them as attachments here. Continue filing the Sealed Motion, then file the attachments as separate docket entries using the stand-alone events (i.e. for exhibits, use the **Exhibit** event) and link them back to the Sealed Motion.
- 9. Answer Is there a Motion to Seal, Stipulated Motion to Seal, Order, or Minute Entry previously filed in this case requesting or approving the filing of this document(s) under seal?
 - a. If you filed a Motion to Seal as shown in the previous section, then select YES.
 - b. If the Court previously authorized filing this particular type of document under seal, then select **YES**.
 - c. If none of the above applies, select **NO** (You will be unable to continue filing until you <u>file a Motion to Seal</u>).
- 10. Select the Motion or Order/Minute Entry requesting or granting you approval to file this motion under seal. If you filed a **Motion to Seal** as shown in the <u>Section I: Filing a Motion to Seal</u>, select that docket entry, then select **Next**.



- 11. Answer: Should the document you are filing link to another document in this case?
 - a. If you do not need to link the document to an additional document in the case, do not select anything, then select Next.

\Box Should the document you are filing link to another document in this case?
Filed to
Documents to
Next Clear

- b. If you wish to link the sealed document to an additional document in the case, select the box next to: Should the document you are filing link to another document in this case? then select Next.
 - i. Select the document(s) you wish to link to the sealed document, then select **Next**.

Select the appropriate event(s) to which your event relates:
O9/10/2018 <u>1</u> COMPLAINT against defendant(s) Grass Roots Inc with JURY DEMAND (Receipt # 12345), filed by Evergreen Gardens. (Attachments: # <u>1</u> Civil Cover Sheet, # <u>2</u> Summons)(VB)
09/10/2018 John G Roberts added. (VB)
12/05/2019 <u>2</u> MOTION to Seal <i>medical records</i> , filed by Plaintiff Evergreen Gardens. (Attachments: # <u>1</u> Proposed Order) Noting Date 12/20/2019, (VTrainer,)
□ 12/05/2019 <u>3</u> SEALED DOCUMENT medical records by Plaintiff Evergreen Gardens re <u>2</u> MOTION to Seal medical records (VTrainer,)
04/27/2020 <u>4</u> MOTION to Seal , filed by Plaintiff Evergreen Gardens. (Attachments: # <u>1</u> Proposed Order) Noting Date 5/15/2020, (Instructor, Class)
Next Clear

12. Review <u>LCR 7</u>, <u>LCrR 12</u>, or the assigned Judge's Chambers Procedures to determine the appropriate noting date to use for your motion, then select **Next.**

13.	Enter the correct Noting Date
	(if any) in Date , then select
	Next.

Motion to Seal		
Noting Date		
Date 5/15/2020		Calendar
Next	Clear	

14. Review the text directing how to serve your sealed motion to parties in the case, then select **Next**.

Please serve your **SEALED DOCUMENT** by conventional means. Although all registered parties will receive electronic notice of this filing, they will NOT be able to access the document via the hyperlink in the e-mail. This entry WILL appear on the docket. If this is not your intent, please use **EX PARTE-SEALED** event.

15. Enter the **Type of Motion** you are filing under **Motion to:**, then select **Next**.

16. If needed, select an additional description for your **Sealed Motion**, then select **Next**. **Note:** This is an optional field and can be left blank if no additional description is required.



17. Review the docket text to ensure the entry is correct and includes all required elements:



- c. Correctly linked to the Motion to Seal or Order.
- d. Correct Attachments: Proposed Order.
- e. Correct Attorney Name.
- If the docket text is correct, select Next.
- If the docket text is not correct, select Civil or Criminal from the main menu and start over.

Note: Do not use the browser back button, this can cause duplicate submissions and may cause an error with your filing.

18. The **Notice of Electronic Filing** is sent to all parties on the case who are currently signed up for electronic notice.

U.S. District Court		
United States District Court for the Western District of Washington		
Notice of Electronic Filing		
The following tran Case Name:	saction was entered by Instructor, Class on 1/25/2021 at 2:21 PM PST and filed on 1/25/2021 Evergreen Gardens v. Grass Roots Inc	
Case Number:		
Filer: Document Numb	Evergreen Gardens	
Docket Text: SEALED MOTION to Dismiss; re [2] MOTION to Seal ; by Plaintiff Evergreen Gardens. Noting Date 2/12/2021, (Instructor, Class)		
2:18-cv-00001-JGR Notice has been electronically mailed to:		
Michael Clayton testattorney@example.com		
2:18-cv-00001-JGR Notice will not be electronically mailed to:		
The following document(s) are associated with this transaction:		

Note: Sealed motions must be served to all parties by traditional means (see step 14).

19. Remember to send the Word version of your Proposed Order to the Judge via the individual Judge's Orders email address (see <u>Judges page</u>).

III. Filing a Sealed Exhibit or Other Attachment

Per <u>LCR</u> 5(g)(4), parties must minimize the number of documents they file under seal and the length of each document they file under seal.

Sometimes e-filers must seal one exhibit or attachment in a group of attachments. For example, you have a **Motion** and three exhibits: **Exhibit A, B,** and **C,** but only **Exhibit B** needs to be sealed. In this case, you would follow the below procedures:

- 1. Do not file all documents under seal. Only file the attachment(s) containing the information that needs to be filed under seal.
- 2. File a Motion to Seal following the instructions listed previously.
- 3. File the **Motion** that the exhibit is in support of, **Exhibit A**, an Exhibit B place marker (a blank page reading "**EXHIBIT B FILED UNDER SEAL**"), and **Exhibit C** using the correct **Motion** event.
- 4. File **Exhibit B** using the **Sealed Document** event.
 - a. You are required to link the Sealed Document to the Motion to Seal.
 - b. You are given the option to link the Sealed Document to an additional docket entry. In this case, you may want to link the Sealed Document to the Motion that you filed in step 3.

IV. What to do if the Motion to Seal is Denied

If your **Motion to Seal** is denied by the Judge and you already filed your sealed document, you should follow the directions as outlined by the **Order**. Some common scenarios include:

- Judge requests you to file a redacted version of the sealed document.
 - File using the **Redacted Document** event under **Civil** or **Criminal** > **Other Filings** > **Other Documents**.
- Judge denies the Motion to Seal and orders court staff to unseal the document.
 - No action is required from the filer.